

Lecture 13 Part 2 Digital Pulse Amplitude Modulation

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Review of Previous Lecture

- Digital communications
 - Group bits into symbols of J bits
 - Map symbol to unique amplitude
 - Want receiver to have fewest number of errors
 - Transmitter and receiver decide the # bits/symbol (constellation size or J) and hence the bit rate $J f_{sym}$
- Quadrature amplitude modulation (QAM) is two-dimensional PAM; i.e., QAM generates two baseband PAM signals, upconverts each, and then subtracts them.
- Cellular connection
 - User equipment (phone) requests a connection with a base station
 - Base station sends a training signal to the user equipment (e.g. pseudo-noise)
 - User equipment applies signal processing methods to increase the SNR of the received signal
 - User equipment sends four-bit unsigned channel quality index (CQI) to the base station to indicate the received SNR (e.g. 0 = -5 dB, 1 = -3 dB, 2 = -1 dB, etc.)
 - The basestation will decide on how many bits/symbol bases on the reported SNR
 - The user equipment will also send a training signal to the base station, the bas station will apply signal processing methods to increase the SNR of the received signal, make a decision on how bits/symbol the user equipment will use when transmitting bits, and sends the bit allocation to the user equipment.

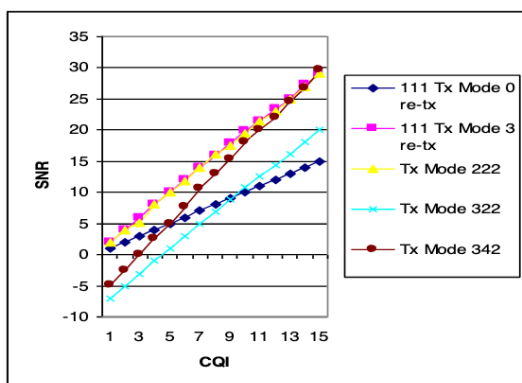


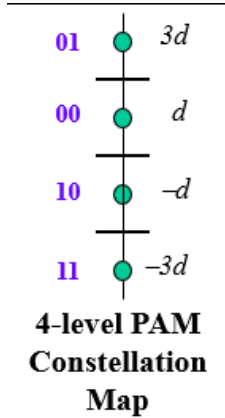
Fig. 6. SNR vs CQI for different Tx modes

CQI index	modulation	code rate x 1024	efficiency
0	out of range		
1	QPSK	78	0.1523
2	QPSK	120	0.2344
3	QPSK	193	0.3770
4	QPSK	308	0.6016
5	QPSK	449	0.8770
6	QPSK	602	1.1758
7	16QAM	378	1.4766
8	16QAM	490	1.9141
9	16QAM	616	2.4063
10	64QAM	466	2.7305
11	64QAM	567	3.3223
12	64QAM	666	3.9023
13	64QAM	772	4.5234
14	64QAM	873	5.1152
15	64QAM	948	5.5547

<https://ytd2525.wordpress.com/2014/02/02/cqi-channel-quality-indicator/>

Gray Coding

- Adjacent symbols only differ by 1 bit
- If receiver gets wrong symbol, it is likely an adjacent symbol and only 1 bit is wrong
- Likely can be corrected using error correcting codes
- PAM constellations can always be Gray coded, e.g.



Symbol Amplitude to Baseband Waveform

- Interpolate (upsample by L and then apply pulse shaping filtering) to convert the discrete-time signal of symbol amplitudes sampled at f_{sym} to a signal sampled at f_s
- D/A converter (sampling at f_s) produces an analog continuous-time baseband signal

Channel Capacity

- Maximum possible bits/second with ideal channel and only additive white gaussian noise
- Theoretical upper bound

The image contains handwritten notes and a diagram. On the left, under "Channel Capacity (Shannon)", the equation is $C = \frac{1}{2} D B \log_2(1 + \text{SNR})$ [bits/s]. Annotations include:

- D : Modulation dimension (PAM=1, QAM=2)
- B : Transmission Bandwidth in Hz
- Received SNR in linear units (at baseband)
- A box labeled "Slide 13-3"
- A cloud labeled "Theoretical Bound"

 In the center, a block diagram shows a transmitter (Tx) sending a signal to a receiver (Rx) through a channel with additive noise. On the right, under "In Practice", the equations are:

- Bit Rate = $J f_{sym}$
- $f_{sym} \propto \text{Tx BW}$
- $J \leq \frac{1}{2} D \log_2(1 + \text{SNR})$
- A note: "Receiver after signal proc. to enhance SNR"

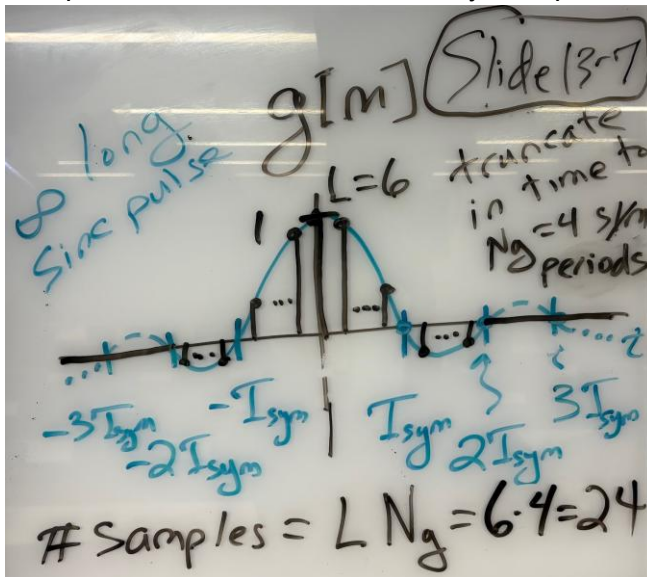
PAM Transmission

- 2-PAM
 - Symbol amplitudes are d and $-d$
- 4-PAM
 - Symbol amplitudes are $-3d, -d, d, 3d$
 - 4 amplitudes spaced $2d$ apart and are centered at 0
- d is a constant (voltage) value determined by the transmitter
 - Can be different in different systems, so we generalize its value when looking at constellations

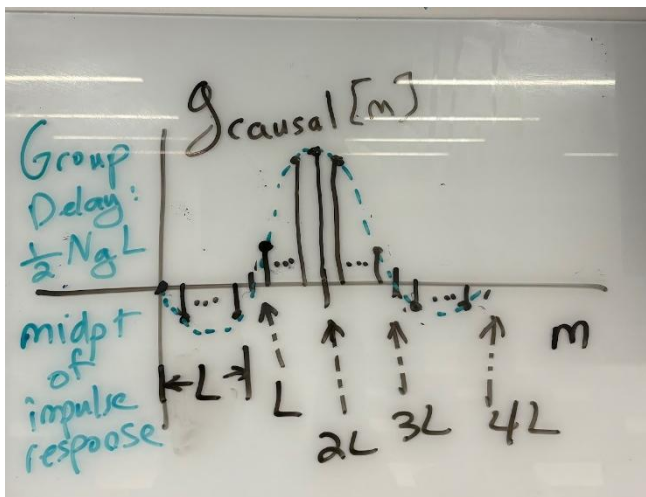
- When upsampling by L , pulse shaping, and converting to amplitude, should not change symbol amplitudes
 - Receiver expects certain amplitudes for symbols
 - Pulse shaping filters must meet two constraints
 - Frequency domain: lowpass filter with cutoff frequency π/L
 - Time domain: impulse must be carefully designed to not change symbol amplitudes (every L th sample must be zero except the middle sample)

Pulse Shaping Filter Example

- Sample continuous time sinc for 4 symbol periods



- Not causal, contains negative discrete-time indices
 - Think, cannot use future to calculate the current output of a filter
- Shift to right by $2L$ samples
 - Filter impulse response goes from indices 0 to $4L$
 - Peak amplitude value occurs at index $2L$
 - Group delay of $2L$



Polyphase Filterbank

- When computing output of pulse shaping filter from upsampled filter, have $L-1$ 0s for each symbol, so many wasted multiply and add operations
- Solution: polyphase filterbank
- Compute only non-zero multiplies for each output in parallel
- Output serial words (amplitudes) using a commutator
- Filters are running at a rate of f_{sym} not Lf_{sym}
- Factor of L savings in number of multiplications without changing signal quality – so we implement the filter bank in practice over the upsample and filter approach